

## SECURITIES DIVISION

Entities registered and/or licensed with the Financial Services Commission under the supervision of the Securities Division as at February 28, 2026

Self-Regulatory Organisations (SROs)	Registration date (new registrant)
Adaptive Infrastructure Inc.	
Barbados Stock Exchange Inc.	
Barbados Central Securities Depository Inc.	
Frontline Environmental Exchange Inc.	
Tokenise Stock Exchange International Limited	
Digiclear Limited	

Securities Company (companies)	Registration date (new registrant)	Brokers (for company)	Registration date (new registrant)	Traders (for company)	Registration date (new registrant)
CAPITA Financial Services Inc.		Mr. Curtis Knight			
Cidel Bank & Trust Inc.		Paul Buchanan		Carlos M. Stevenson	
Cidel Financial Inc.		Carlos Stevenson		Brian Hoyte	
Concorde Bank Limited		Jonathan Walcott Sharmaine C. Vaughan		Pamela V. Carmichael	
Desjardins Global Asset Management Inc		James Daniel Burdick Alain Rheau Philippe Mercier			
CIBC Caribbean Bank (Barbados) Limited (formerly FirstCaribbean International Bank (Barbados) Limited)		Beverly L. Walters			
CIBC Caribbean Wealth Management Bank (Barbados) Limited (formerly FirstCaribbean International Wealth Management Bank (Barbados) Limited)		Paul Jenkins Chelsey Walcott			
First Citizens Investment Services Limited		Omolara DeRiggs-Morris		Resheda Sobers	
Velocity Trade Securities Inc.		Iain Thomson			
Fortress Fund Managers Limited		Rene C. Delmas			
JMMB International Limited		Rashada L. Lopez		Ramon Boyce	
Tokenise Brokerage Limited		Michael Jordan Mohamed S. Razak			
NCB Capital Markets (Barbados) Limited		Angus P. Young		Christopher Buchanan Ricardo Davis Kerrie-Ann Greaves	29-Jan-26
Proven Wealth (Barbados) Limited		Ricardo Davis		Shanika Wright	
QuestGlobal Inc.		Nicole L. Bayley Ross Pitt Prancil Ladwa			
RBC Dominion Securities Global Limited		Andrew McCartney Kevin P. Armstrong Norman E. Barrow Hendricus M. Schalkwijk		Paul S. Broomes Chad J. Sandiford (Inactive)	
RF Capital Markets (Barbados) Limited		Lamar Dario Goring			
Richmond Street Capital Limited	2-Jan-26	John Doyle	2-Jan-26		
Sagcor Asset Management Inc.		Lesley R.A. Greaves Gene A. Phillips-Gay Rebie N. Cumberbatch Angaly Deodat Janario Nurse Ebony Prescod Michelle L. Miller		Lesley R.A. Greaves Gene A. Phillips-Gay Rebie N. Cumberbatch	
SigniaGlobe Financial Group Inc.		Ayesha Maycock Terry Williams Janai S. Butts			
The Bank of Nova Scotia		Scott Stollmeyer Grace Vidal-Ribas			

Investment Adviser (companies)	Registration date (new registrant)	Investment Adviser (for company)	Registration date (new registrant)
Alkom Capital International Ltd.		Olga O'Neill	
Artemas Wealth Management (Barbados) Ltd		Henricus Antonius Marianus Maria de Koning Roger Dalrymple Walkes	
Forvest Global Wealth Management (Barbados) Inc.		Marcia Cyrus Edward A. Meyer Paul Buchanan	
Cidel Bank & Trust Inc.		Gerard Philippe Emile Claude Lussan (Inactive)	
Concorde Assets Management Ltd.		Carlos Stevenson	
Cidel Financial Inc.		Christopher A. Gregory Charles L. M. King	
Figtree Family Office Limited		Juan L. M. Pacheco Jeffrey Newton	
Fintrade Services Inc.			
CIBC Caribbean Bank (Barbados) Limited (formerly FirstCaribbean International Bank (Barbados) Limited)		Paul Jenkins Chelsey Walcott	
CIBC Caribbean Wealth Management Bank (Barbados) Limited (formerly FirstCaribbean International Wealth Management Bank (Barbados) Limited)		Resheda Sobers	
First Citizens Investment Services Limited		Charles C. Gagnon	
Heward Investment Management (Barbados) Inc		Aleksandra Bukacheva	
International Advisors Inc.		Pauline Tully	
JMMB International Limited		Leigh-Ann Norville Angus P. Young	
NCB Capital Markets (Barbados) Limited		Ricardo Davis Kevin Gill	29-Jan-26
Proven Wealth (Barbados) Limited		Christopher Buchanan Ricardo Davis	
RBC Dominion Securities Global Limited		Hendricus Marinus Schalkwijk Norman Elliott Barrow	
RF Merchant Bank & Trust (Barbados) Ltd.		Kevin P. Armstrong Graham John Marshall Dionne C. Best	
Sagcor Asset Management Inc.		Lesley R.A. Greaves Rebie N. Cumberbatch Angaly Deodat Janario Nurse Ebony Prescod	
Silver Technetium Capital Inc. (Inactive)		Arvind H. Gopwani (Inactive) Terrol McD. Cummins (Inactive)	
Summit Asset Management Limited		Edward Majewski	
VM Wealth Management Limited		John Howard Sean Yearwood	
Investment Advisers Registered on Behalf of The Bank of Nova Scotia		Daniel Kardo Paul Cusmano Carlo Ylagan Guillaume Maurice Scott Stollmeyer Yuly Juarez	

Dealers (companies)	Registration date (new registrant)	Dealer (for company)	Registration date (new registrant)
CAPITA Financial Services Inc.		Curtis Knight	
CIBC Caribbean Bank (Barbados) Limited (formerly FirstCaribbean International Bank (Barbados) Limited)		Beverley L. Walters	
First Citizens Investment Services Limited		Resheda Sobers	
JMB International Limited		Michael Jordan	
NCB Capital Markets (Barbados) Limited		Angus P. Young	
Proven Wealth (Barbados) Limited		Ricardo Davis	
		Lesley R.A. Greaves	
		Rebie N. Cumberbatch	
		Angely Desdat	
Sagicor Asset Management Inc.		Jamario Nurse	
		Ebony Prescod	
		Michelle L. Miller	

Underwriters (companies)	Registration date (new registrant)
ANSA Merchant Bank (Barbados) Limited	
CIBC Caribbean Bank (Barbados) Limited (formerly FirstCaribbean International Bank (Barbados) Limited)	
First Citizens Investment Services Limited	
JMB International Limited	
NCB Capital Markets (Barbados) Limited	

Reporting Issuers (listed and unlisted companies)	Registration date (new registrant)
ABV Investments Inc.	
Apes Hill Development SRL	
Banks Holdings Limited	
Barbados Agricultural Management Co. Ltd.	
Barbados Dairy Industries Limited	
Barbados Farms Limited	
Barbados National Terminal Inc.	
Barbados Port (Authority) Inc.	
Barbados Tourism Investment Inc.	
BICO Limited	
Bridgetown Cruise Terminal Inc.	
Cable & Wireless (Barbados) Limited	
Cave Shepherd & Company Limited	
Coast Guard Relocation Incorporated	
Emera Incorporated	
Eppley Caribbean Property Fund Limited SCC	
CIBC Caribbean Bank Limited (formerly FirstCaribbean International Bank Limited)	
First Citizens Bank Limited	
First Citizens Group Financial Holdings Limited	
Goddard Enterprises Limited	
Government of St. Kitts & Nevis	
Government of St. Vincent & the Grenadines	
Government of St. Vincent & the Grenadines & Scotia Bank Trinidad and Tobago	
Insurance Corporation of Barbados Limited	
JMB Group Limited	
Massy Holdings Limited	
One Caribbean Media Limited	
Productive Business Solutions Limited	
Queen Elizabeth Hospital	
RBC Royal Bank (Barbados) Limited	
Sagicor Financial Corporation	
Sagicor General Insurance Inc.	
Southern Golf & Country Club	
Sunbeach Communications Inc.	
Trinidad Cement Limited	
University of the West Indies	
West India Biscuit Co. Ltd.	

Other issuers with registered securities (but not required to register as reporting issuers)	Date added
Barbados Water Authority	
Golden Acquisition Limited	
The Government of Barbados	

Mutual Funds (including sub-funds)	Sub-Funds	Registration date (new registrant)
Alces Asset Fund SCC	<i>The Alces Technology Fund</i>	
	<i>The Alces Renewable Energy Fund</i>	
	<i>The Alces Diversified Fund</i>	
Eppley Caribbean Property Fund Limited SCC	<i>Eppley Caribbean Property Fund Limited SCC - Value Fund</i>	
	<i>Eppley Caribbean Property Fund Limited SCC - Development Fund</i>	
Financorp Funds SCC		
Fortress Caribbean High Interest Fund Limited		
Fortress Caribbean Pension Fund Limited		
Fortress Mutual Fund Limited		
Fortress World Funds Limited SCC	<i>Fortress World - Fixed Income Fund</i>	
	<i>Fortress World - Growth Fund</i>	
VM Wealth Funds Ltd (formerly Republic Funds Inc.)	<i>VM Wealth Capital Growth Fund</i>	
	<i>VM Wealth Income Fund</i>	
	<i>VM Wealth Property Fund</i>	
RF (Barbados) Investment Fund Limited	<i>RF Select Balanced Fund</i>	
	<i>RF Strategic Growth Fund</i>	
	<i>RF Premium Income Fund</i>	
Sagicor (Bonds) Fund		
Sagicor (Equity) Fund		
Sagicor Funds Inc.	<i>Sagicor Global Balanced Fund</i>	
	<i>Sagicor Preferred Income Fund</i>	
	<i>Sagicor Select Growth Fund</i>	
Sagicor Special Opportunity Fund SCC	<i>Sagicor Renewable Energy Fund</i>	
Scotia Caribbean Income Fund Inc.		
Universal Ventures Fund SCC		

Mutual Fund Administrator (General)	Registration date (new registrant)
Amicorp Fund Services N.V.	
CAPITA Financial Services Inc.	
Cidel Bank & Trust Inc.	
Eppley Fund Managers Limited	
Fortress Fund Managers Limited	
RF Capital Markets (Barbados) Limited	
Sagicor Asset Management Inc.	

Mutual Fund Administrator (Restricted)	Registration date (new registrant)
Melora Management Inc.	
Scotia Asset Management (Barbados) Inc.	
VM Wealth Management Limited	

**STATISTICAL SUMMARY as of February 28, 2026**

Category	Number
Securities company	21
Broker	40
Trader	14
Investment adviser (companies)	23
Investment adviser (individuals)	45
Dealer (companies)	7
Dealer (individuals)	12
Underwriter	5
Other Issuers	3
Reporting issuers	37
Self-regulatory organisations	6
Mutual Fund Administrator (General)	7
Mutual Fund Administrator (Restricted)	3
Mutual Funds (including sub-funds)	25

Total Companies	98
Total Market Intermediaries (SC,IA,D,U,MFA)	39
Mutual Funds (Excluding Sub-Funds)	15